



UTAH VALLEY

PBA Funding Requests

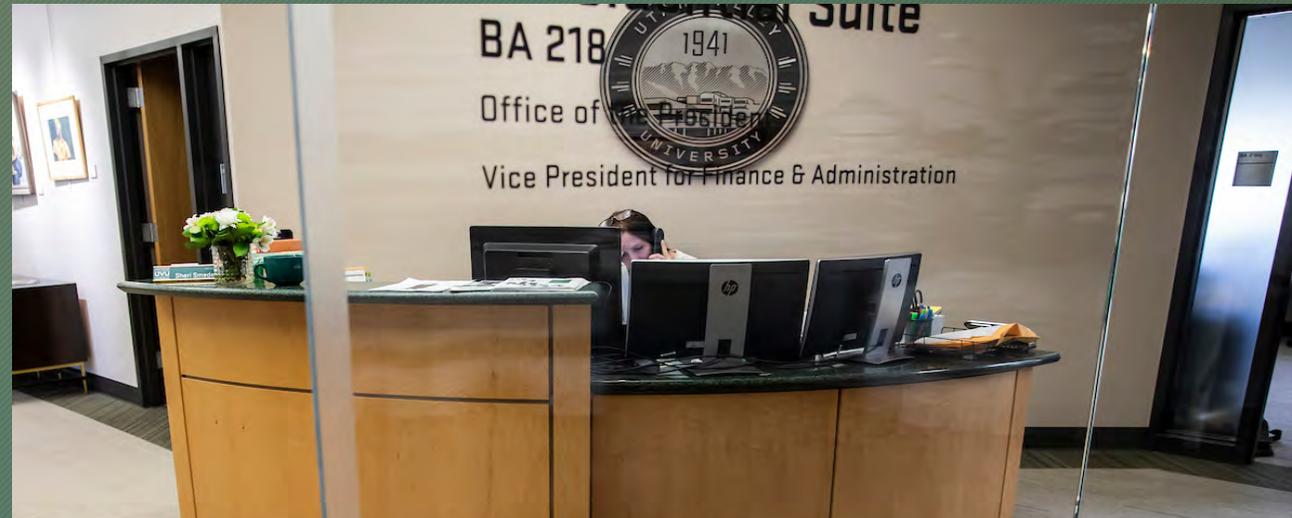
Office of the President



UNIVERSITY

Chief of Staff: Exceptional Accountability

- Funding requests from the Chief of Staff have focused exclusively on
 - Presidential Internship program
 - Office efficiency



Chief of Staff: Supporting Student Success

- Presidential Internship program
 - High-impact internship practice
 - Provides direct engagement of Cabinet with students
 - Offers students unique leadership and networking experience
 - Contributes to post-graduation success



2019-2020 Chief of Staff Funding Request

- Request #335 - \$49,920 Appropriated Base for Presidential Internship program allowing for sustained support of 3 presidential interns:
 - Office of General Counsel
 - Inclusion and Diversity Office
 - VP Digital Transformation and CIO



Inclusion and Diversity: Supporting Student Success



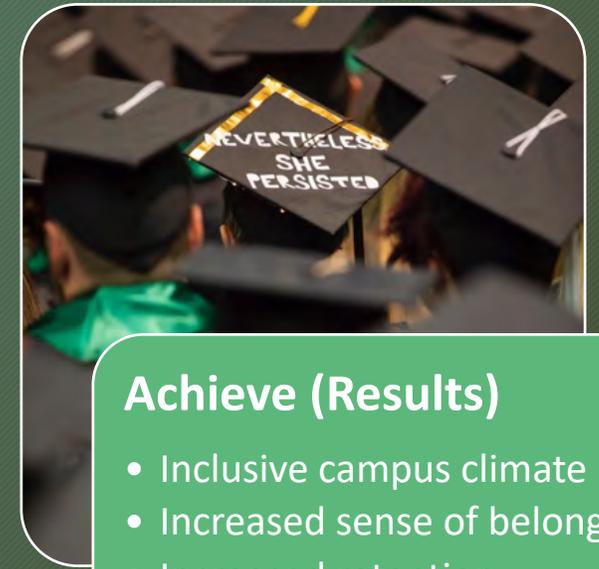
Include (Care)

- UVU community and its intellectual relationships
- External partnerships and social relationships
- Relationship to physical space, campus environment, and infrastructure



Engage (Accountability)

- Inclusion Plan 2.0
- Assessment and Data
- Foundations of Inclusion Workshop Series

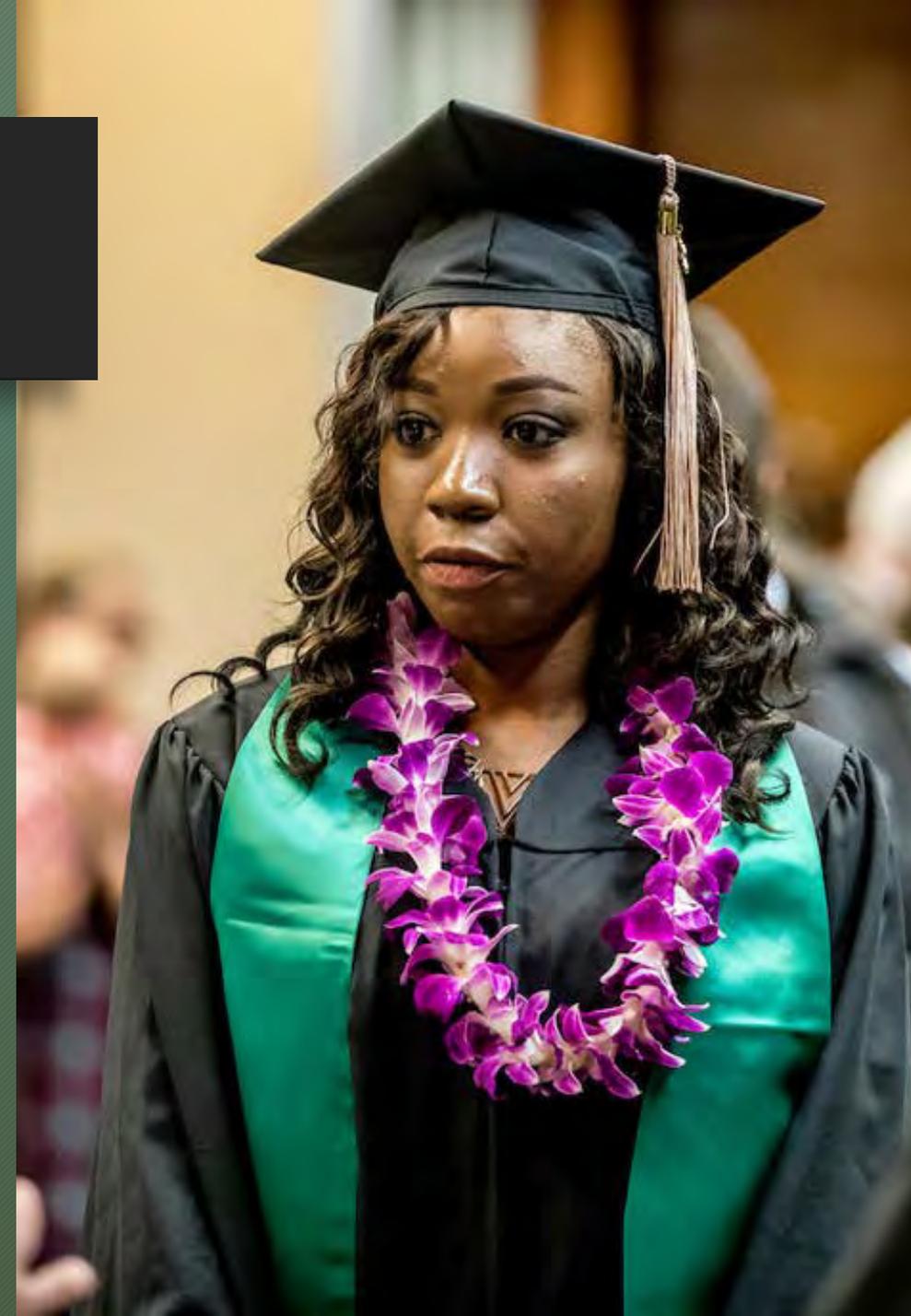


Achieve (Results)

- Inclusive campus climate
- Increased sense of belonging
- Increased retention, persistence, and graduation rates

CIDO Accountability in 2018-19

- Drafted Inclusion Plan 2.0 (still in progress)
- Completed UVU Vision Statement for Inclusion and Diversity, Principles of Community, and Key Terms
- Created new FOI Orientation and gathered data (and starting new FOI on Age and another on the Green Zone for Veterans)
- Developed charters for each committee chaired by CIDO
- Initiated a College Inclusion Committee in each academic school or college at UVU
- Produced an Assessment Map



PBA Request for 2019-2020

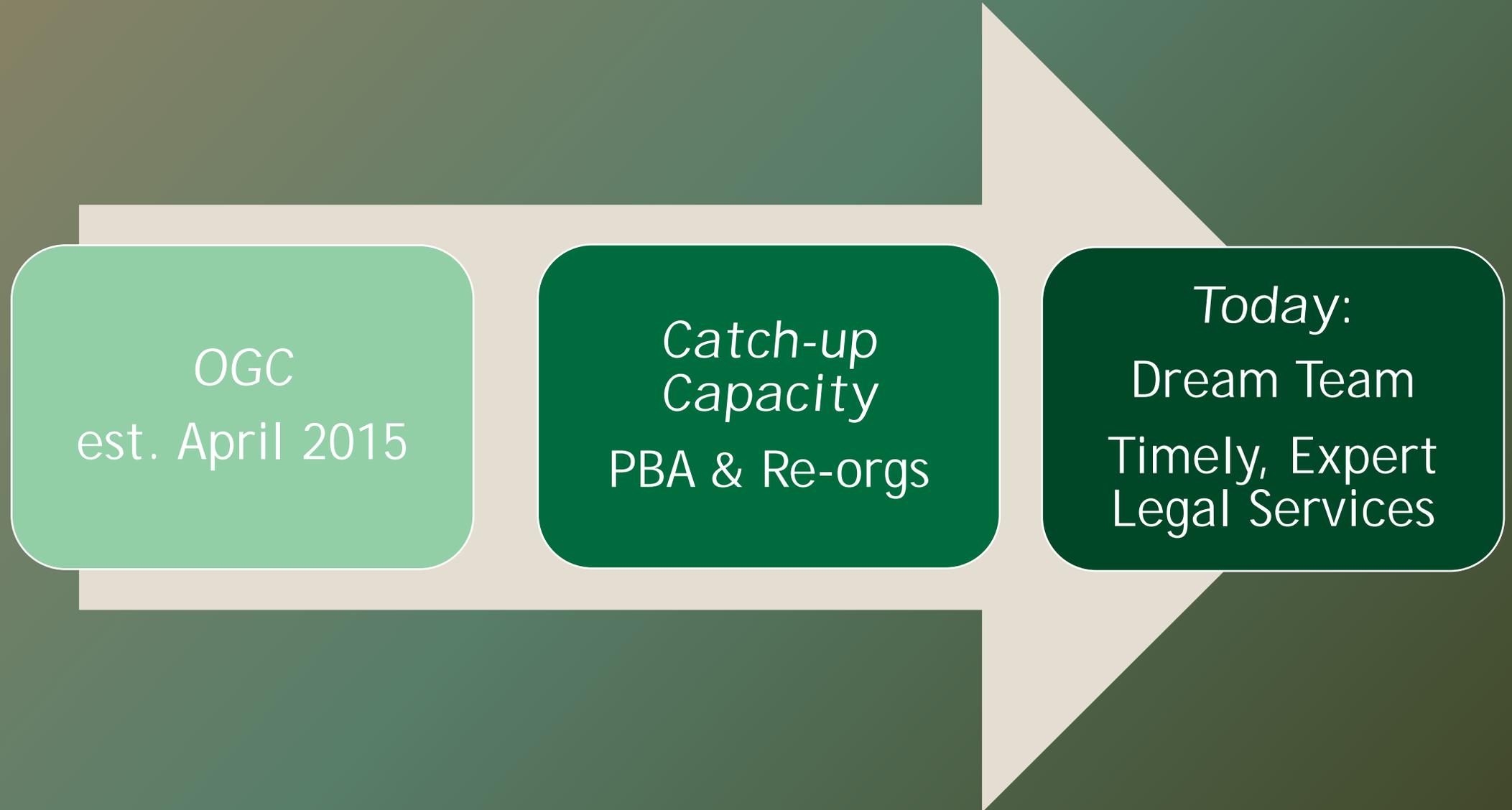
- \$10K of one-time funding for Foundations of Inclusion (FOI)
- \$120K of ongoing funds for a Director of Inclusion & Diversity



The Office of General Counsel

IMPACT ON UVU'S CORE THEMES, ACTION COMMITMENTS, AND OBJECTIVES

THE OFFICE OF GENERAL COUNSEL TIMELINE





Accountability and Assessment

- Since its inception, OGC has strived for exceptional accountability, care, and results by providing timely and expert legal services.
- OGC also supports UVU's mission of student success by both directly and indirectly contributing to UVU's action commitments and objectives.
 - Include:
 - *UVU fosters an inviting, safe, and supportive environment in which students, faculty, and staff can succeed*
 - Engage:
 - *UVU employees demonstrate a commitment to student success, professionalism, ethics, [compliance,] and accountability*
 - Achieve:
 - *UVU supports students in completing their educational goals.*

INCLUDE:

- OGC has fostered an inviting, safe, and supportive environment for student, faculty and staff success by:
 - Actively partnering with and providing advice, hearing coverage, and policy drafting support to Title IX & EO/AA Office
 - Providing legal trainings on Title IX, performance management, medical issues, FLSA compliance, and many other topics to many audiences
 - Providing ongoing legal counsel to Behavior Assessment Team
 - Drafting and providing counsel on Student Safety Intervention Protocols
 - Providing legal support to Clery Committee, drafting annual security report, and identifying and providing training to Campus Security Authorities
 - Providing preventative and proactive risk management counsel
 - Overseeing the minors on campus policy, including advising departments on policy requirements and revising policy to increase compliance

“UVU fosters an inviting, safe, and supportive environment in which students, faculty, and staff can succeed.”

INCLUDE:

- Coordinating outside major investigations and providing investigation workshop to campus partners
- Providing legal support to Dean of Students Office, including in major revision of the Student Code
- Providing legal support to Office of Accessibility Services
- Providing legal support to HR and Academic Affairs, including on employee relations and employee accommodations & leaves
- Heading UVU's GDPR compliance efforts and providing advice on all privacy matters
- Participating in/providing legal support to many committees:
 - Inclusion Comm.; Accessibility Comm.; EIT Accessibility Comm.; Mental Health Task Force; Search Advocacy Comm.; Title IX Coordination Comm.; Dream Task Force; Major Events Review Comm.; Safety Comm.; Cybersecurity Comm.; FERPA Board of Investigations; and others

“UVU fosters an inviting, safe, and supportive environment in which students, faculty, and staff can succeed.”

ENGAGE:

- The Office of General Counsel has demonstrated and continues its commitment to engaged student success by:
 - Providing ongoing legal support to:
 - Student Affairs
 - Academic Affairs
 - Title IX Office
 - Athletics
 - Co-supervising and providing legal support to Athletics Compliance
 - Fostering innovation and engaged learning in the new Office of Technology Commercialization
 - Fostering/coordinating compliant consumer protection (disclosures under HEA, State Authorization Rules)
 - Fostering overall legal compliance to ensure ongoing federal funding

“UVU employees demonstrate a commitment to student success, professionalism, ethics, and accountability.”

ENGAGE:

- The Office of General Counsel has demonstrated a commitment to ethics and accountability by:
 - Establishing a new three-tiered Compliance structure that complies with the Federal Sentencing Guidelines and best practices
 - The new Compliance and Risk Planning Team is central to organizing and facilitating collaboration among key university compliance owners and to promoting a comprehensive culture of compliance and ethics
 - Quarterly reports to President's Council ensure a tone from the top and provide regular updates on new legal and policy requirements, accountability for implementing required changes, and excellent compliance results

“UVU employees demonstrate a commitment to student success, professionalism, ethics, and accountability.”

ENGAGE:

- Ethics messaging via President's Council statements, an ethics focus in action commitments, messages from the President, OGC Briefings, participation on Ethics Week panels, and ethics trainings
- Creating a nuanced Electronic COI Disclosure Process & Supervisor COI Dashboard that complies with the Utah Ethics Act - and coordinating COI disclosures, management plans, and COI Committee
- Revising COI policy
- Drafting Consulting Guidelines
- Fostering Accountability (and Excellent Results)
 - Policy development (102, 114, 136, 142, 152, 160, 161, 162, 165, 252, 325, 333, 335, 441, 443, 541, 601, 635, 636, 648, 652, 704, 710, and others)
 - Litigation prevention/strategy & settlement negotiations (lower state risk insurance claims since 2015)
 - Administrative (EEOC/OCR) claims (100% dismissal rate since 2015)

“UVU employees demonstrate a commitment to student success, professionalism, ethics, and accountability.”

ENGAGE:

- The Office of General Counsel has demonstrated a commitment to professionalism and accountability by:
 - Providing legal support to the UVU Board of Trustees and the UVU Foundation Board
 - Drafting the Foundation's new Articles of Incorporation, Bylaws, MOU with UVU, and COI policy
 - Providing sophisticated legal support on major gifts
 - Drafting nuanced contracts that better protect UVU in its business dealings – also creating a more efficient contract workflow process
 - Drafting/revising templates regularly used by UVU departments, including Contracts Office, GRAMA Office, Athletics, HR, Title IX, Student Conduct, and Academic Affairs
 - Providing intellectual property services, including to Fulton Library in revising its digital streaming procedures, to Woodbury Art Museum, to Trademarks and Licensing Program, and to users across campus of copyrighted music

“UVU employees demonstrate a commitment to student success, professionalism, ethics, and accountability.”

ENGAGE:

- The Office of General Counsel has demonstrated a commitment to professionalism and accountability by restructuring the Office of Technology Commercialization:
 - Office reorganized and new Director/IP Counsel hired in July 2018 to better meet the needs of faculty, staff, and students - with many served in the last year
 - Establishment of IP and Technology Commercialization Committee
 - Enhanced innovation and engaged learning opportunities
 - Exploration of new community partnerships
 - Coordination with other UVU departments fostering innovation
 - Creation of licensing and other agreements to protect and transfer IP (three patent license agreements currently in different stages of negotiations)
 - Nine U.S. patent applications filed since July 2018, with approximately 4-5+ more expected by July 1, 2020 (only six U.S. apps pending prior to July 2018)
 - Three U.S. patents issued in last year (only three issued patents prior to July 2018)

“UVU employees demonstrate a commitment to student success, professionalism, ethics, and accountability.”

Co-Proposal: One-time Request

- Co-proposing (with Research Officer Dan Fairbanks) additional seed budget for Office of Technology Commercialization until Office is self-sustaining (which typically takes seven years)
 - Need to cover patent and other fees

One-time Request	Number	Cost
Seed budget for Office of Technology Commercialization	298	\$50,000

OGC General & Ongoing Requests

General Request - Increased Budget for Outside Counsel Services

- UVU is engaging in more complex activities that require specialized outside legal counsel (*i.e.*, Noorda, Institutional Advancement, etc.)
- Increased need to engage outside independent investigators in certain cases to ensure neutrality and legal defensibility

Ongoing Request - Westlaw Research Database Subscription

- Essential research tool to assist OGC in delivering timely and accurate legal services

Request	Number	Cost
1. Outside Counsel Budget Increase	339	\$25,000
2. Westlaw Research Database	299	\$6,600
		TOTAL = \$31,600

Summary: General & Ongoing Requests

Office of the President Request Summary

Office of the President	Appropriated Base	Appropriated One-time Current FY	Appropriated One-time New FY	Appropriated Base Existing	Non Appropriated Base	Non Approp One-time Current FY	Non		Faculty	Staff
							Appropriated One-time New FY	Appropriated One-time New FY		
Chief of Staff	\$39,920	\$10,000	\$0	\$0	\$0	\$0	\$0	\$0	0	0
Chief Diversity & Inclusion Officer	\$96,927	\$0	\$20,800	\$0	\$0	\$0	\$0	\$0	0	1
General Counsel	\$6,566	\$0	\$50,000	\$0	\$0	\$0	\$0	\$0	0	0
Grand Total	\$143,413	\$10,000	\$70,800	\$0	\$0	\$0	\$0	\$0	0	1

Office of the President	Appropriated Base	Appropriated One-time Current FY	Appropriated One-time New FY	Faculty	Staff
Achieve	\$0	\$0	\$0	0	0
Engage	\$46,486	\$10,000	\$50,000	0	0
Include	\$96,927	\$0	\$20,800	0	1
Organizational Sustainability	\$0	\$0	\$0	0	0
Grand Total	\$143,413	\$10,000	\$70,800	0	1